

**BUREAU OF LAND MANAGEMENT  
ARIZONA**

**INSTRUCTIONS FOR OBTAINING A  
CULTURAL RESOURCE USE PERMIT**

**March 2001**

# CONTENTS

	<u>Page</u>
Summary of Steps for Obtaining a Cultural Resource Use Permit .....	1
Section 1 - The Cultural Resource Use Permit System .....	2
Section 2 - Who May Apply for a Cultural Resource Use Permit .....	3
Section 3 - Completing the Forms .....	6
Cultural Resource Use Permit Form .....	6
Fieldwork Authorization Request Form .....	9
Section 4 - Permit Special Conditions .....	11
Section 5 - Environmental and Section 106 Considerations .....	11
Section 6 - Disputes and Appeals .....	12
Appendix 1 - Blank Forms .....	13
Appendix 2 - Arizona BLM Offices .....	17
Appendix 3 - Special Conditions .....	21

## **SUMMARY OF STEPS FOR OBTAINING A CULTURAL RESOURCE USE PERMIT**

1. Obtain a permit application (Form 8151-1) from the State Director, Bureau of Land Management, Arizona State Office (AZ-931), 222 N. Central Avenue, Phoenix, Arizona 85004.
2. Complete all items on the application form according to the instructions provided in this handbook.
3. Send one copy of the completed application form, together with accompanying documentation, to the State Director (AZ-931), BLM Arizona State Office.
4. The application will be reviewed by the BLM for completeness and accuracy.
5. Applicants should anticipate a 2 to 4 week wait for survey permits and 6 to 8 weeks for testing/excavation permits.
6. The BLM will issue the permit with special conditions attached, or will deny the permit and notify the applicant.
7. To begin work under "blanket" permits not issued for a specific project, send one copy of a completed Fieldwork Authorization Request (Form 8151-3) to the Field Office Manager in the BLM Field Office with jurisdiction over the lands involved. This is required for each project done under a "blanket" permit. Except in emergency situations, you must have your permit in hand before requesting a Fieldwork Authorization.
8. The appropriate Field Office will approve the Fieldwork Authorization Request and return a copy to the applicant, or will deny the authorization and notify the applicant.
9. Should the applicant be dissatisfied with any decisions regarding the application and/or permit, dispute procedures may be initiated.

## **SECTION 1**

### **THE CULTURAL RESOURCE USE PERMIT SYSTEM**

As a Federal land managing agency, the Bureau of Land Management (BLM) is responsible for complying with legislation pertaining to cultural resources on lands managed by the BLM, hereinafter called "public lands". Since October 1, 1984, the BLM has had the authority to issue cultural resource use permits at the State Office level. This transfer of authority from the National Park Service resulted in numerous changes in permitting procedures, greatly reducing the time required for applicants to obtain permits.

The Bureau has a two-level permit system, consisting of a qualifications level review and an operational level approval. The qualifications level is conducted at the State Office with support from Field Offices, where appropriate. It consists of reviewing and processing the permit application and issuing the first part of the permit package, the Cultural Resource Use Permit. Unless this permit is issued for a specific project (e.g. excavation of a specific site or group of sites), it cannot be used until the next level of the permit system is complete.

The second level, the operational level, is conducted at the Field Offices. This level is represented by the fieldwork authorization. The fieldwork authorization provides a measure of protection to both the BLM and the permittee by documenting that the permittee has been approved to conduct certain activities on lands administered by the BLM. These written authorizations are to be obtained prior to beginning each fieldwork episode under a permit. This gives the BLM the opportunity to assure compliance with existing cultural and land management legislation and records the legal operation of the contractor under his/her permit.

To ensure appropriate treatment of cultural and other resources, the BLM attaches special conditions to the permits. After the permit is issued, the BLM may monitor the project in the field to ensure that the permittee complies with the permit conditions.

Cultural Resource Use Permits are issued to qualified academic and scientific institutions, cultural resource consulting firms, State agencies, and other qualified institutions and/or individuals to conduct professional cultural resource investigations on public lands. Investigations for which Cultural Resource Use Permits are issued are of two basic types: (1) non-collection survey/recordation work and (2) limited testing, excavation and/or removal. Permits for these types of work are explained in detail in Section 3 of this handbook.

"Blanket" non-collection survey/recordation permits are issued for consulting work to provide users of public lands with timely access to professional services. The BLM in Arizona generally issues these permits to cover all public lands within a Field Office or within the State so that applicants need not obtain separate permits for each non-collection project. Moreover, since "blanket" permits are issued on a long term basis (1 to 3 years), they help to eliminate the need for last-minute emergency authorizations.

Permits for work involving excavation or collection, including testing, are issued only for specific projects and for specific locations and time frames relating to those projects. Issuance of such permits is contingent upon BLM approval of a definitive research design describing work to be done.

In processing Cultural Resource Use Permit applications, the BLM staff must evaluate the following:

1. The effect the proposed undertaking will have on cultural resources.
2. The effect the proposed undertaking will have on other resources, such as flora, fauna, watercourses, soils, and wilderness values.
3. Potential conflicts between the proposed undertaking and BLM projects, other authorized projects, or concerns of other interest groups.
4. The persons and organizations responsible for carrying out the proposed undertaking and their performance under past Federal permits.

## **SECTION 2**

### **WHO MAY APPLY FOR A CULTURAL RESOURCE USE PERMIT**

Any individual or any public, scientific, or educational institution proposing to locate, examine, or excavate cultural resources on public lands must have authorization in the form of a Cultural Resource Use Permit. A permit is necessary whether or not cultural resources are to be collected. The only exception to this requirement is for individuals, such as volunteers, carrying out official BLM cultural resource management activities under the direction of a BLM Field Office Manager.

Professional qualifications standards have been developed in accordance with 43 CFR 7 to ensure that individuals permitted to conduct cultural resource work have sufficient training and expertise to properly evaluate and make recommendations for the proper management of cultural resources in accordance with existing legislation. These qualifications standards are in effect for all cultural resource work conducted on lands administered by the BLM in Arizona and will cover all projects, whether of a consultation or research nature.

Applicants must meet all of the following standards for their respective duties. (These standards do not apply to individuals listed on valid Department of Interior [DOI] permits issued prior to October 1, 1984. Such individuals are currently allowed to work in the same capacity in which they worked under their former DOI permit subject to present BLM Cultural Resource Use Permit procedures and special conditions. However, any advancement of these individuals to a higher level of duties must be in accordance with the qualifications standards outlined herein).

## **APPLICANT DUTIES AND QUALIFICATIONS STANDARDS**

### Administrator

This individual must be listed in item No. 10 of the permit application and must sign the application.

#### Duties

1. Signs application and assumes legal responsibility for the permit.
2. Is responsible for carrying out terms and conditions of the permit.
3. Assures adequate technical and administrative support and organizational capability.

#### Qualifications Standards

The administrator must be legally empowered to obligate the organization for all permit-related matters. This individual will be allowed to request changes in any of the items on the permit (i.e., personnel, permit type, permit extensions and termination, etc.).

There are no professional qualifications standards for this position if the administrator will not be performing any cultural resource work (for example, if the administrator is the dean of a college of arts and sciences or the president of an environmental firm in which cultural resource work is only one of a number of environmental services conducted by the company). Under some circumstances, the administrator will also be a cultural resource specialist functioning as a project director and/or field supervisor. In such cases, the administrator must meet the qualifications for those duties.

### Project Director

This individual must be listed in item No. 8 of the permit application.

#### Duties

Project directors are responsible for the technical completeness and competency of all submissions and work performed, including:

1. Field supervisor performance.
2. The review and approval of reports.
3. Development of work plans, research designs, and mitigation proposals.

4. Crew competence and adherence to professional standards.
5. Integration of field data into comparative regional perspectives for analytical (evaluative) purposes.

#### Qualifications Standards

1. Formal education consisting of a graduate degree in anthropology or related area (anthropology/archaeology, history, architecture). Equivalent training and experience equaling 24 months of pertinent, professionally supervised experience will be accepted in lieu of a graduate degree.
2. Competence in method and theory and in collecting, handling, analyzing, evaluating, and reporting cultural resource data relative to the type and scope of work proposed, as evidenced by previous experience or relevant training.
3. The ability to plan, equip, staff, organize, and supervise activity of the type and scope of work proposed, as evidenced by previous experience or relevant training.
4. The ability to carry research to completion, as evidenced by timely completion of theses, research reports, and/or similar documents which would be acceptable to the professional academic community.
5. Completion of at least 16 months of professional experience and/or specialized training in field, laboratory, or library research, administration, or management including at least 4 months of experience in the geographic and/or culture area involved.
6. At least 12 months of experience in research concerning cultural resources of the period (i.e., historic or prehistoric) which is the focus of the proposed work. This experience may be part of the experience required above.

#### Field Supervisor

This individual must be listed in item No. 8 of the permit application.

#### Duties

1. Directs on-site supervision of fieldwork.
2. Makes initial evaluations and recommendations for significance, effect, and treatment. This duty may not be delegated to, or conducted by, any individual not approved to serve as field supervisor.

3. Writes descriptive technical reports.

#### Qualifications Standards

1. Formal education consisting of a Baccalaureate degree in the appropriate discipline (anthropology/archaeology, history, architecture).
2. At least 12 months of pertinent, professionally supervised experience, with increasing responsibility leading to duties similar to those proposed in the application.
3. Competence in recording, collecting, handling, analyzing, evaluating, and reporting cultural resource data relative to the type and scope of work proposed, as evidenced by previous experience or relevant training.
4. The ability to supervise activity of the type and scope of work proposed, as evidenced by previous experience or relevant training.
5. At least 4 months of professional experience and/or specialized training in field methods pertaining to the geographic and/or culture area involved. This may be part of the 12 months of experience required in #2, above.

### **SECTION 3 COMPLETING THE CULTURAL RESOURCE USE PERMIT APPLICATION FORMS**

#### **CULTURAL RESOURCE USE PERMIT APPLICATION, Form 8151-1 (see Appendix 1)**

Item 1, Name of applicant. Enter the official name of the company or individual as it is to appear on the permit.

Item 2, Mailing Address. Enter the address to which all correspondence regarding the permit should be sent. It is not necessary to enter branch offices here, as these should be identified in the description of organizational capabilities (item 9a).

Item 3, Telephone Number. Provide the number at which the individual or organization can be reached for any questions regarding the permit or any work conducted under the permit.

Item 4, Nature of Cultural Resource Work Proposed. There are two basic types of work for which permits may be issued: work involving collection of cultural resources and work for which no collection is proposed. Permits corresponding to these types of work are identified below. Check the box on the



application which best describes the activities that you expect to conduct under the permit. If you have any questions, contact the BLM before you submit the application.

The permit types are as follows:

### Survey and Recordation

This permit authorizes nondisturbing pedestrian survey and limited subsurface probing for mapping purposes only. This permit type *does not* authorize the excavation of test units for site evaluation. Collection of isolated nonsite artifacts is allowed, but no collection of artifacts associated with an archaeological site may be conducted under this permit. This permit is generally issued for consulting services on a Field Office-wide or Statewide basis.

### Limited Testing and/or Collection

This permit is issued on a project-specific basis and for a definite location. It covers small-scale testing and/or systematic collection and removal of material remains during field identification, evaluation, and recording activities, so that the significance or research potential of a cultural property may be better understood but not substantially diminished.

### Excavation and/or Removal

This permit authorizes excavation and/or removal of material remains at a greater scale than the limited testing permit, with the result that the significance and/or future research potential of a cultural property may be substantially altered. This category of permit includes major testing programs designed to answer research questions and to guide future data recovery efforts.

In cases where the proposed work would affect cultural resources that are listed on or eligible for the National Register of Historic Places, the BLM may need to consult with the State Historic Preservation Officer (SHPO) and/or Advisory Council on Historic Preservation (ACHP) before issuing the permit. Ordinarily, such consultation can be completed within 45 days after the permit application has been received by the BLM. However, if the SHPO and/or ACHP do not feel the proposed work is appropriate, issuance of the permit may be delayed for a longer time until agreement can be reached.

If the BLM determines that a place of religious or traditional cultural importance to Native Americans may be harmed as a result of the proposed work, or where Native American human remains or funerary objects are likely to be excavated, the BLM must consult with the appropriate tribe(s) and traditional religious leaders before issuing a permit. The Bureau will consider the results of this consultation in deciding whether to approve a permit application. If Native Americans express concerns, there may be considerable delay (up to 70 days) from the time the application

is received before a decision can be made. If the Bureau decides that Native American cultural values take precedence over other values of the proposed work, the permit will not be issued or may be issued contingent upon modifications to the proposal. You are urged to submit your application as early as possible to avoid delay or possible denial of your application which could result from this process.

Item 5, Location of Proposed Work. For any type of permit, identify the area of proposed work as specifically as possible. In those cases where the permit will cover a specific project or area, give the legal description and provide a map showing the project's location. Use an additional sheet if necessary. If previously recorded cultural resources will be involved in the proposed work, list them in item No. 5b.

Item 6, Purpose of Proposed Work. Provide a concise explanation of the nature of work proposed under the permit.

Item 7, Time of Proposed Work. Request a specific time period for which the permit will be valid. "Blanket" non-collection permits of up to 3 years duration may be issued to established companies or individual consultants with a good performance record under existing or previous permits. If a beginning or ending time for a specific project or projects is not known, a reasonable estimate should be provided.

Item 8, Name of Individual(s) Responsible for Planning and Supervising Fieldwork and Approving Reports, Evaluations, and Recommendations. All individuals proposing to conduct fieldwork under this permit as project directors and/or field supervisors must be listed here.

Item 9a, Organizational Capabilities. Include the location of the company headquarters and any branch offices and describe the facilities and equipment available to conduct the type of work proposed. A company or individual applying for a permit to do survey and/or limited testing, for example, would simply need to provide a description of the type of equipment available for standard survey and testing work. For any permit under which excavation or other detailed studies are proposed, the availability of facilities and equipment to conduct more comprehensive work must be demonstrated. The purpose of this is to document that you have the necessary equipment and staffing to conduct the proposed work.

Item 9b, Organizational History. Include a brief history of your organization, listing any Federal permits held, along with the other information requested in this section of the application. For new companies, refer to the qualifications and work history of the individuals involved.

Item 9c, Summary of Education, Training, and Experience. Provide a vitae or other statement of education, training and experience for each individual listed in item No. 8 of the application. The vitae or other statement should identify experience in terms of specific job functions (project director, fieldwork supervisor, etc.) and specific time (years *and months*) spent at each job. This statement should relate directly to the qualifications standards described in section 2 of this handbook. This information should be current to ensure that an otherwise qualified individual is not rejected because information was not supplied.

Item 9d, Certification of Curatorial Facility. An agreement to permanently curate materials collected or generated as a result of work conducted under the permit must be submitted with all permit applications, regardless of the type of work proposed. Such materials include artifacts, as applicable, and records, data, photographs, and other documents resulting from the work. This agreement may be in the form of a letter to your organization from the proposed curatorial facility. Any agreement provided as part of your application must be signed by an official of the curatorial facility. The curation agreement must be current for each application. If the curation agreement expires before the expiration date of the permit, a new curation agreement must be submitted to the BLM Arizona State Office (931) to avoid termination of the permit.

Item 10, Name of Individual Responsible for Carrying Out Terms and Conditions of Permit. The individual listed in this item must be an authorized officer of the applicant's organization. By listing an individual here, you are certifying that this person is legally empowered to obligate your organization. Normally only one individual should be listed in this section, although in some cases two individuals may be acceptable (e.g., the vice president of a large corporation is in charge, but the daily operation of the company is handled by another official).

Item 11, Signature. The application must be signed by the individual listed in item No. 10.

Item 12, Date. The application must be dated when signed.

One copy of the application and accompanying documentation should be submitted to the State Director (931), BLM Arizona State Office, 222 North Central Avenue, Phoenix, AZ 85004.

Cultural Resource Use Permits may be extended for periods of up to three years at a time. To extend an existing permit, the permittee need only send a brief written request to the State Director (931). This must be received by the BLM before the existing permit expires and should indicate any changes to be made in personnel or other items shown on the existing permit. If new personnel are to be added to the permit, vitae or other statements of education, training and experience must be submitted with the request for extension.

## **FIELDWORK AUTHORIZATION REQUEST, Form 8151-3 (see Appendix 1)**

Fieldwork Authorization Requests are processed at the Field Office level. Such authorizations are required prior to any fieldwork episode conducted under a "blanket" permit not issued for a specific project. Normally, approval of a Fieldwork Authorization Request will entail a 1 to 2 week processing period at the Field Office where the request is submitted. When Cultural Resource Use Permits are issued for a specific project, time and location, issuance of the permit itself constitutes authorization to begin fieldwork, and no further fieldwork authorization is necessary.

Item 1, Permit Number and Date Issued. Enter the number of the Cultural Resource Use Permit under

which the work will be conducted, and the date the permit was signed.

Item 2, Name of Permittee. Enter the name of the permit holder as it appears on the permit.

Item 3, Mailing Address and Telephone Number. Enter as stated on the permit.

Item 4, Nature of Cultural Resource Work. Identify concisely the type of work proposed under this Fieldwork Authorization Request. The type and area of work identified on the Fieldwork Authorization Request must be consistent with the level of permit that has been issued to your organization. For example, a Fieldwork Authorization Request that identifies testing as part of the proposed work cannot be honored for a contractor operating under a non-collection survey/recording permit.

Item 5, Location of Work. Identify the area(s) of proposed work to be conducted under this Fieldwork Authorization Request. The fieldwork authorization cannot be issued to exceed the jurisdictional boundaries of your permit. If the proposed work will consist of a project which crosses Field Office boundaries, a copy of the Fieldwork Authorization Request must be submitted to each Field Office. In such a case, one of the Field Offices will be designated to take the lead in coordinating the review and authorizing the fieldwork. For specific projects or specific cultural properties, the area of concern must be clearly identified using legal locations on the request form. In these cases, a map must be provided which accurately locates the immediate area of the proposed work.

Item 6, Period During Which Work Will Be Conducted. Enter a realistic estimate of the time necessary to conduct the proposed work. The time requested cannot exceed the expiration date of the permit. In most cases, fieldwork will be authorized for a period of 1 month or less.

Item 7, Name of Individual Responsible for Planning and Supervising Fieldwork and Approving Reports, Evaluations, and Recommendations. Include all individuals who will be working in a supervisory capacity under this particular fieldwork authorization. Only those individuals listed on item No. 8 of the Cultural Resource Use Permit may be used for this purpose. Individuals may be added to item No. 8 of the permit upon BLM receipt and approval of their professional qualifications and experience.

Item 8, Signature. The request must be signed. The signature may be that of any individual listed in items No. 8 or 9 of the permit.

One copy of the Fieldwork Authorization Request should be sent to the Field Office Manager in the BLM Field Office with jurisdiction over the lands involved. A map and list of BLM Field Offices are included in Appendix 2. Allow 1 to 2 weeks for processing your authorization request. Remember: You may not conduct any fieldwork until you have received written or verbal authorization to proceed.

## **SECTION 4**

### **PERMIT SPECIAL CONDITIONS**

A set of special conditions is added to all permits to assure minimal disturbance to other resources, set forth minimum information requirements for cultural resource reports, and provide instructions for dealing with special situations which may occur in the course of cultural resource work. Special conditions for research projects usually address concerns centered around the compatibility of the project with other land uses, the professional or scientific benefits of the investigations, and keeping damage to cultural and natural resources in the project area within limits justified by the gain in scientific knowledge resulting from the project.

Special conditions for each type of permit are provided in Appendix 3. A special condition attached to all Cultural Resource Use Permits in Arizona is that cultural resource data resulting from work conducted under permits must be entered into the automated Arizona Cultural Resource Database (AZSITE), which is housed at Arizona State Museum. Entering this data is the responsibility of the permittee and must be done at the permittee's expense. Contractors should anticipate the costs of having this data entered when developing budget estimates for clients. Failure to comply with this or other conditions of a permit will constitute grounds for revoking the permit and/or denying future permit applications.

## **SECTION 5**

### **ENVIRONMENTAL AND SECTION 106 CONSIDERATIONS**

In many instances, work carried out under Cultural Resource Use Permits on public lands results from the need to gather information which will allow the agency to meet the requirements of the National Environmental Policy Act and Section 106 of the National Historic Preservation Act. The permit applicant should, in the application submitted, state whether the proposed work is related to a specific project on public lands (e.g., proposed transmission line construction, proposed road right-of-way). This will help the BLM to coordinate the environmental, Section 106 and Cultural Resource Use Permit processes. In such cases, the applicant may be required to meet time frames and data requirements set forth in Programmatic Agreements (PAs) or Memoranda of Agreement (MOA). These may specify requirements for regional cultural resource summaries, identification/survey standards, site evaluation (National Register of Historic Places) requirements, and development of research/treatment programs for sites which will be affected by a specific project. These Agreements may also establish report format and submission schedules which are compatible with construction schedules, or may alter the conditions to which permit holders would ordinarily adhere.

## **SECTION 6**

### **DISPUTES AND APPEALS**

Any applicant, permittee, or revokee ("the disputant") may dispute and/or appeal the decision of the authorized officer (i.e., State Director or Field Office Manager, as applicable) with respect to denial of a Cultural Resource Use Permit application or Fieldwork Authorization Request, the inclusion of specific terms and conditions in a permit or fieldwork authorization, or the modification, suspension, or revocation of a permit. Procedures for disputes and appeals are as follows:

1. Request for review. The disputant may file a written request for the authorized officer to review the authorized officer's decision, stating reasons for believing that the decision should be reconsidered. The authorized officer may modify the original decision in light of information presented, or may sustain the original decision, in either case providing the disputant with a written explanation.
2. Request for conference. Either the disputant or the authorized officer may request a conference to discuss the basis of the original decision. The authorized officer may modify the original decision in light of information presented, or may sustain the original decision, in either case providing the disputant with a written explanation.
3. Request for higher level review. The disputant, if unsatisfied with the outcome of a review or conference, may request that the decision be reviewed at the next higher organizational level. Decisions of a Field Office Manager may be reviewed by the State Director, and those of the State Director may be reviewed by the Director. The Director may request that the Departmental Consulting Archaeologist participate in the Director's review. The authorized officer's decision shall stand during the course of any higher level review.
4. Appeals. After exhausting the dispute opportunities described above, the disputant or any other affected person wishing to appeal a decision connected with a permit may file a formal appeal with the Interior Board of Land Appeals (IBLA) following the procedures in 43 CFR Part 4, Subpart E. The appeal must be filed within 30 days after the disputant has been notified of the decision.

## **APPENDIX 1**

CULTURAL RESOURCE USE PERMIT APPLICATION (8151-1)

CULTURAL RESOURCE USE PERMIT (8151-2)

FIELDWORK AUTHORIZATION REQUEST (8151-3)

UNITED STATES DEPARTMENT OF THE INTERIOR  
BUREAU OF LAND MANAGEMENT  
ARIZONA STATE OFFICE

## CULTURAL RESOURCE USE PERMIT APPLICATION

Sec. 302(b) of P.L. 94-579, October 21, 1976, 43 U.S.C. 1732 and  
Sec. 4 of P.L. 96-95, October 31, 1979, 16 U.S.C. 470cc

1. Name of Applicant (Institution, Corporation, Partnership, Individual, or Other Entity)	
2. Mailing Address	3. Telephone Number
4. Nature of Proposed Cultural Resource Work  <div style="display: flex; justify-content: space-around;"> <span>___ Survey and Recordation</span> <span>___ Limited Testing and/or Collection</span> <span>___ Excavation and/or Removal</span> </div>	
5. Location of Proposed Work <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">a. Description of Public Lands Involved</div> <div style="width: 45%;">b. Identification of Cultural Resource(s) Involved (if applicable)</div> </div>	
6. Purpose of Proposed Work	7. Time of Proposed Work <div style="display: flex; justify-content: space-around;"> <span>From</span> <span>To</span> </div>
8. Name of Individual(s) Responsible for Planning & Supervising Field Work & Approving Reports, Evaluations & Recommendations	
9. Applicant must include the following with the application form:  <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>a. Summary of organizational capabilities, including information on location(s) and description of facilities and equipment, on organizational structure and staffing, and on facilities, equipment and staff to be involved in the proposed work;</p> <p>b. Summary of organizational history in completing work of the kind proposed, including similar past projects, government contracts and Federal permits (previously held, currently in force with effective dates, and currently pending or planned, by agency and region/state), reports and/or publications resulting from similar work, and any other pertinent organizational experience;</p> </div> <div style="width: 48%;"> <p>c. For each individual named as responsible for technical tasks, a curriculum vitae or other resume or summary of education, training and experience in the kind of work proposed and in the role proposed;</p> <p>d. Written certification, signed by a properly authorized official of the proposed curatorial facility, attesting to the facility's capability and willingness to accept any collections, as applicable, and records, data, photographs and other documents generated during the proposed term of the permit, and to assume permanent curatorial responsibility for such materials on behalf of the United States Government.</p> </div> </div>	
10. Name of Individual Responsible for Carrying Out Terms and Conditions of Permit (This person must be legally empowered to obligate the applicant organization)	
11. Signature	12. Date

*Attach separate sheets as needed for additional space*



UNITED STATES DEPARTMENT OF THE INTERIOR  
BUREAU OF LAND MANAGEMENT  
ARIZONA STATE OFFICE

## CULTURAL RESOURCE USE PERMIT

Sec. 302(b) of P.L. 94-579, October 21, 1976, 43 U.S.C. 1732 and  
Sec. 4 of P.L. 96-95, October 31, 1979, 16 U.S.C. 470cc

1. Name of Permittee	
2. Mailing Address	3. Telephone Number
4. Nature of Authorized Cultural Resource Work	
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> 5. Location of Authorized Cultural Resource Work  a. Description of Public Lands Involved   All lands administered by the Bureau of Land Management's  Arizona State Office </div> <div style="width: 50%;"> b. Identification of Cultural Resource(s) Involved (if applicable) </div> </div>	
6. Authorized Beginning Date	7. Expiration Date
8. Name of Individual(s) Authorized to Plan & Supervise Field Work & Approve Reports, Evaluations & Recommendations	
9. Name of Individual Responsible for Carrying Out Terms and Conditions of Permit	
10. This permit is subject to all applicable provisions of pertinent regulations (43 CFR 2920; 43 CFR 7), which are made a part hereof.  11. This permit may not be assigned.  12. Permittee shall immediately request the State Director to make a modification to accommodate any change in an essential condition of the permit (e.g., 1, 4, 5, 8, 9 above), and shall notify the State Director of any other changes, affecting the permit or regarding information submitted as part of the application for the permit, without delay. Failure to do so may result in permit suspension or revocation.  13. This permit is issued for the period specified. It is subject to suspension or revocation, for management purposes or for cause, at the discretion of the State Director, upon written notice.	14. Permittee may request permit extension, in writing, at any time prior to expiration of the term of the permit, specifying a limited, definite amount of time required to complete the work.  15. Permittee shall observe all Federal, State, and local laws and regulations applicable to the public lands and resources.  16. Any correspondence about this permit or work conducted under its authority must cite the permit number. Any publication of results of work conducted under the authority of this permit must cite both the Bureau of Land Management and the permit number.  17. Special conditions attached to this permit are made a part hereof.  18. Permittee shall contact the affected Field Manager, prior to beginning any field work under the authority of this permit, by submitting a Fieldwork Authorization Request (Form 8151-3) or its equivalent, in person or by mail, except when a specific fieldwork authorization is included with the permit.
Approved by Deputy State Director	Date

UNITED STATES DEPARTMENT OF THE INTERIOR  
BUREAU OF LAND MANAGEMENT  
ARIZONA STATE OFFICE

## FIELDWORK AUTHORIZATION REQUEST

To Conduct Specific Cultural Resource Work Under the Authority of a Cultural Resource Use Permit Issued by the Bureau of Land Management  
Pursuant to Sec. 302(b) of P.L. 94-579, October 21, 1976, 43 U.S.C. 1732 and Sec. 4 of P.L. 96-95, October 31, 1979, 16 U.S.C. 470cc

1. Permit Number and Date Issued	2. Name of Permittee
3. Mailing Address and Telephone Number	
4. Nature of Cultural Resource Work (If consultation work, identify client and project)	
5. Location of Work (Include map) <div style="display: flex; justify-content: space-between;"> <span>a. Description of Public Lands Involved</span> <span>b. Identification of Cultural Resource(s) Involved (if applicable)</span> </div>	
6. Period During Which Work Will Be Conducted <div style="display: flex; justify-content: space-between;"> <span>From</span> <span>To</span> </div>	
7. Name of Individual(s) Responsible for Planning & Supervising Field Work & Approving Reports, Evaluations & Recommendations	
8. Signature of Permittee	9. Date

<ul style="list-style-type: none"> <li>• The individual named in item 7 above shall be present during the conduct of field work authorized herein, or shall notify the authorized officer of the need for any extended absence, and shall make provision that the work will be carried out under supervision of equal quality, by an individual approved by the authorized officer.</li> </ul>	<ul style="list-style-type: none"> <li>• All terms and conditions of the permit continue to apply; any special conditions attached hereto have the same force and effect as conditions of the permit.</li> <li>• Permittee shall immediately notify the authorized officer of any change in items 3 through 7 above.</li> </ul>
<div style="display: flex; justify-content: space-between;"> <span>Fieldwork Authorization Request approved by:</span> <span>Date</span> </div>	
<div style="text-align: center;">(Signature of BLM Authorized Officer)</div>	

***Submit this request, by mail or in person, to the BLM Field Manager who administers the lands involved.***

## **APPENDIX 2**

### **ARIZONA BLM FIELD OFFICES**

## **BUREAU OF LAND MANAGEMENT FIELD OFFICES**

### **Arizona Strip Field Office**

Roger Taylor, Field Manager  
BLM Arizona Strip Field Office  
345 E. Riverside Dr.  
St. George, UT 84790  
Telephone: (801) 688-3200; FAX: (801) 673-4654  
Archaeologists: Diana Hawks & John Herron

### **Phoenix Field Office**

Michael Taylor, Field Manager  
BLM Phoenix Field Office  
2015 West Deer Valley Road  
Phoenix, AZ 85027  
Telephone: (602) 580-5500; Fax: (602) 580-5580  
Archaeologists: Connie Stone & Cheryl Blanchard

### **Kingman Field Office**

John Christensen, Field Manager  
BLM Kingman Field Office  
2475 Beverly Avenue  
Kingman, AZ 86401  
Telephone: (520) 692-4400; Fax: (520) 692-4414  
Archaeologist: John Rose

### **Safford Field Office**

Bill Civish, Field Manager  
BLM Safford Field Office  
711 14th Avenue  
Safford, AZ 85546  
Telephone: (520) 348-4400; FAX: (520) 348-4450  
Archaeologist: Gay Kinkade & Anna Rago

### **Tucson Field Office**

Jesse Juen, Field Manager  
BLM Tucson Field Office  
12661 East Broadway  
Tucson, AZ 85748

Telephone: (520) 722-4289; FAX: (520) 751-0948  
Archaeologist: Max Witkind

**San Pedro Project Office**

BLM San Pedro Project Office  
1763 Paseo San Luis  
Sierra Vista, AZ 85635-4611  
Telephone: (520) 458-3559; FAX: (520) 458-3559  
Archaeologist: Jane Childress

**Havasu Field Office**

Don Ellsworth, Field Manager  
BLM Havasu Field Office  
2610 Sweetwater Avenue  
Lake Havasu City, AZ 86406  
Telephone: (520) 505-1200; FAX: (520) 505-1208  
Archaeologist: Aline LaForge

**Yuma Field Office**

Gail Acheson, Field Manager  
BLM Yuma Field Office  
2555 E. Gila Ridge Rd.  
Yuma, AZ 85365  
Telephone: (520) 317-3200; FAX: (520) 317-3250  
Archaeologist: Carol Telles

## **APPENDIX 3**

### **PERMIT SPECIAL CONDITIONS**

BUREAU OF LAND MANAGEMENT  
ARIZONA STATE OFFICE

CULTURAL RESOURCE USE PERMIT

Sec. 302(b) of P.L. 94-579, October 21, 1976, 43 U.S.C. 1732 and

Sec. 4 of P.L. 96-95, October 31, 1979, 16 U.S.C. 470cc

SPECIAL CONDITIONS

1. Permittee's initiation of work or other activities under the authority of this permit signifies the permittee's acceptance of terms and conditions of the permit.
2. Permittee shall obtain an approved written fieldwork authorization or documented verbal approval from the Field Manager or his authorized representative prior to initiating fieldwork under this permit.
3. Permittee shall not be released from requirements of this permit until all outstanding obligations have been satisfied, whether or not the term of the permit has expired.
4. During the course of activities conducted under this permit, the BLM State Director, Field Manager, and their representatives shall have full access to the work area specified in the permit, and at any time during or after completion of fieldwork shall have the right to inspect the work area and any recovered materials and records pertaining to that work.
5. Permittee shall not conduct any flint knapping or lithic replication experiments at any archaeological site, aboriginal quarry source, or non-site location which might be mistaken for an archaeological site as a result of such experiments.
6. All costs of work authorized under this permit shall be borne by the permittee.
7. The conduct of activities not specifically authorized by this permit or outside areas identified in this permit may constitute a violation of the Archaeological Resources Protection Act or other applicable statutes.
8. Depending on the scope, duration, and nature of the proposed work, the Field Manager may require progress reports and/or a preliminary fieldwork report.
9. Permittee shall submit to the Field Manager legible, complete Arizona State Museum Site Forms (including the BLM Evaluation and Access Record, Form AZ-8110-1), Isolated Cultural Materials records (Form AZ-8110-2), Cultural Resource Photograph Logs (Form AZ-8110-3) and Cultural Resource Project Records (Form AZ-8110-4) with required maps and attachments within the timeframe established under the fieldwork authorization. These records shall not be bound and shall be separate from the fieldwork reports.
10. Permittee shall submit a copy of the final report to each affected Field Manager not later than 180

days after completion of fieldwork. Reports must meet BLM standards as described in Arizona BLM Handbooks.

11. Permittee shall deposit in an approved curatorial facility all artifacts, samples and collections, as applicable, and copies of all records, data, photographs, and other documents, resulting from work named in the permit not later than 90 days after the date the final report is submitted to the appropriate Field Manager. The permittee shall complete a "Confirmation of Museum Collections' Deposition Statement" for all museum collections curated and submit this statement to the Arizona State Director within 10 days after the collections are accepted by the curatorial facility. Not later than 180 days after the final report is submitted, permittee shall provide the Field Manager with a catalog of all materials deposited with the curatorial facility, including the facility's accession and/or catalog numbers and an overall evaluation of the research potential of the cultural materials.
12. Permittee shall ensure that cultural resource site record data resulting from work conducted under this permit is entered into the automated Arizona Cultural Resource Database (AZSITE) not later than 180 days after completion of fieldwork. All costs of entering cultural resource site data into AZSITE shall be borne by the permittee. The permittee shall provide to Arizona State Museum one copy of each final report resulting from work under this permit to accompany the site record data entered into AZSITE.
13. Permittee shall submit a copy of all published journal articles and other published or unpublished reports, papers, and manuscripts resulting from the permitted work, to the Field Manager of each Field Office in which work was conducted.
14. Permittee shall not release to the public, without the written permission of the appropriate Field Manager, any cultural resource site record data gathered under the authority of this permit.
15. This permit is not exclusive in nature. Fieldwork conducted under authority of this permit shall be carried out in such a way as not to impede other legitimate uses of the public lands, except when special provision has been made by the authorized officer.
16. Vehicles shall be restricted to existing roads and trails unless otherwise provided by the authorized officer.
17. Temporary stakes and/or flagging installed by the permittee shall be removed upon completion of fieldwork.
18. Disturbance shall be kept to the minimum area consistent with the nature and purpose of the fieldwork.
19. Living trees shall not be cut or otherwise damaged unless authorized by the authorized officer.
20. Precaution shall be taken at all times to prevent wildfire. Permittee shall be held responsible for suppression costs for any fires on public lands caused through negligence of the permittee. No burning of debris shall be allowed without specific authorization from the authorized officer.



21. Resource management facilities within the permit area such as fences, reservoirs, and other improvements, shall not be disturbed without prior approval of the authorized officer. Where disturbance is necessary, permittee shall return the facility to its original condition as determined by the authorized officer.
22. Permittee shall clean all camp and work areas before leaving the permit area. Permittee shall take precautions to prevent littering or pollution on public lands, waterways, and adjoining properties. Refuse shall be carried out and deposited in approved disposal areas.
23. Permittee shall take precautions to protect livestock, wildlife, and the public from accidental injury in any test pits or other units excavated under this permit.
24. All test pits and excavation units shall be backfilled as soon as possible after recording the results of the excavations and restored as nearly as reasonable to the original surface contour.
25. Permittee may request review, in writing to the official concerned, of any disputed decision regarding denial of a Fieldwork Authorization Request, inclusion of specific terms and conditions, or modification, suspension, or revocation of a permit, setting out reasons for believing that the decision should be reconsidered.
26. If the permittee suspects on the basis of odor, soil staining, or the presence of abandoned containers that an area may be contaminated with environmentally hazardous materials, the permittee shall stay clear of that area to avoid potentially harmful exposure. Within one day of the discovery, the permittee shall report the location and nature of the suspected contamination to the BLM Field Manager having jurisdiction over the lands involved.
27. Pursuant to 43 CFR 10.4, the holder of this authorization must notify the appropriate BLM Field Manager by telephone, with written confirmation, immediately upon discovery of any human remains, funerary objects, sacred objects, or objects of cultural patrimony. If the discovery is made in connection with an on-going activity, the holder must stop activity in the area of the discovery and protect the human remains and objects until notified by the BLM Field Manager to proceed.

## Bureau of Land Management Confirmation of Museum Collections' Deposition Statement

### Instructions

1. A "Confirmation of Museum Collections' Deposition Statement" must be completed for all museum collection originating from BLM administered lands which are being deposited at a curation facility. An existing curation facility collections receiving form containing the same information may be substituted.
2. All information requested on the form must be completed. Permittees must provide a list of the objects to be deposited attached to this, or a substitute curation facility form. The list must minimally contain information on the type (i.e., archaeological, historic, paleontological), number and condition of objects. After this form has been signed by the curation facility official, the original with the list of objects is to be mailed to the BLM State Archaeologist.
3. A curation facility official must acknowledge receipt of the collection with a signature. Before signing, the curation facility official should compare the list of objects attached here to the actual objects being deposited.

### Permittee Information

Permit number: \_\_\_\_\_

Permittee name: \_\_\_\_\_  
(Please print and sign name)

Address: \_\_\_\_\_  
\_\_\_\_\_

Telephone Number: \_\_\_\_\_

Project Name and Number: \_\_\_\_\_

### Curation Facility Information

Curation Facility Official: \_\_\_\_\_(name & title printed)

Curation Facility Name: \_\_\_\_\_

Curation Facility Address: \_\_\_\_\_  
\_\_\_\_\_

Curation Facility Contact Name: \_\_\_\_\_

Curation Facility Phone Number: \_\_\_\_\_

### Receipt of Collection Statement

I, \_\_\_\_\_(Curation Facility Official Signature) certify that the  
\_\_\_\_\_ (name of facility) has on this date, \_\_\_\_\_,  
accepted the museum collections or museum objects described on the attached \_\_\_\_\_ (number of  
pages) pages from \_\_\_\_\_ (name of individual transporting  
the collection).